

An aerial photograph of a tropical beach and high-rise buildings. The beach is on the left, with people and umbrellas. The ocean is a vibrant turquoise. On the right, several modern high-rise buildings with glass facades and balconies are visible. A large, stylized teal graphic, resembling a stylized 'G' or a wave, is overlaid on the scene, extending from the beach towards the buildings. The sky is bright blue with scattered white clouds.

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the Power of Connection

An aerial photograph of a coastal city, likely Miami, featuring a wide sandy beach, turquoise ocean, and a dense urban skyline with modern high-rise buildings. A semi-transparent teal grid pattern is overlaid on the image, curving across the beach and buildings. In the center, there is a white rectangular box with a teal border containing event information.

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Power What's Next

Enterprise & Operational Risk Management

Agenda

- FY'24 Market Trends and Challenges
- Risk Management Overview
- Power What's Next
 - AiSPIRE Connected Risk
 - Brand New Assessment Experience
 - Risk Assessment for Non-BFSI
 - Enhanced Insights and Reporting



FY'24 Market Trends & Challenges

Building an Efficient and Effective Risk Function

The raise of Artificial Intelligence (AI)

The growing importance of ESG Risks

Challenges



Multiple Risk Taxonomies



Unstructured Risk Data



Increasing Volume, Velocity & Complexity of Risks



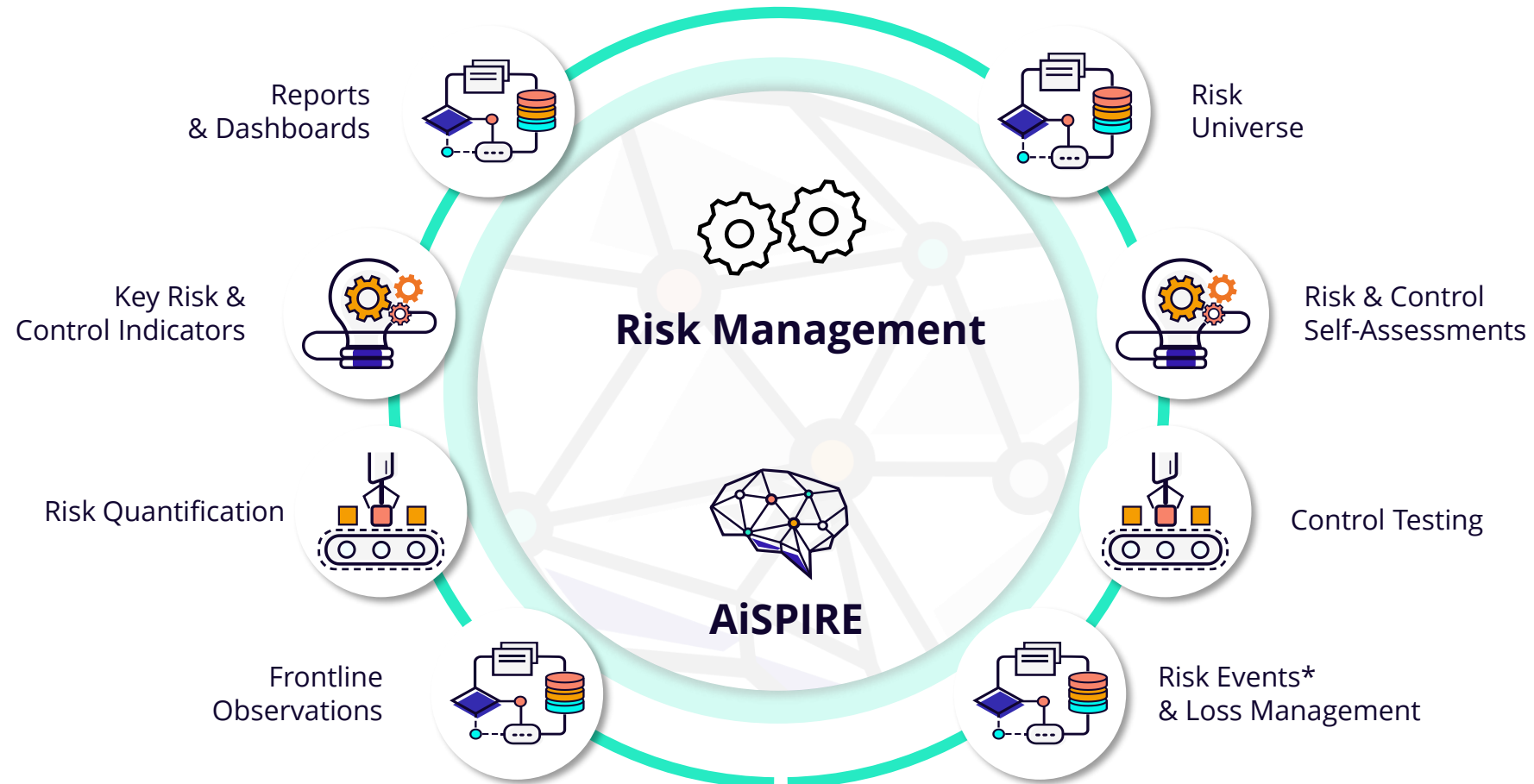
Lack of Real-time Visibility Into Risks



Increasing Demands from the Board of Directors

MetricStream: Risk Management Overview

AI Powered Risk Management



An aerial photograph of Miami, Florida, showing a mix of modern high-rise buildings and older structures. A network of glowing green lines is overlaid on the image, connecting various points across the city, symbolizing connectivity. The sky is blue with scattered white clouds. The foreground is a white curved shape.

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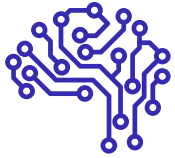
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Power What's Next

FY'24 and Beyond

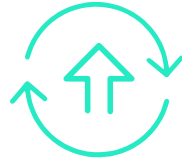
Future of GRC is **CONNECTED**



COGNITIVE

From Data to Decision Making

- *Understanding, Reasoning & Learning*
- *AI Infused Workflows & Decision Making*
- *Risk Quantification*



CONTINUOUS

From Workflows to Hyper Automation

- *Continuous Control Monitoring*
- *Continuous Audits & Assessments*
- *Content Integrations*



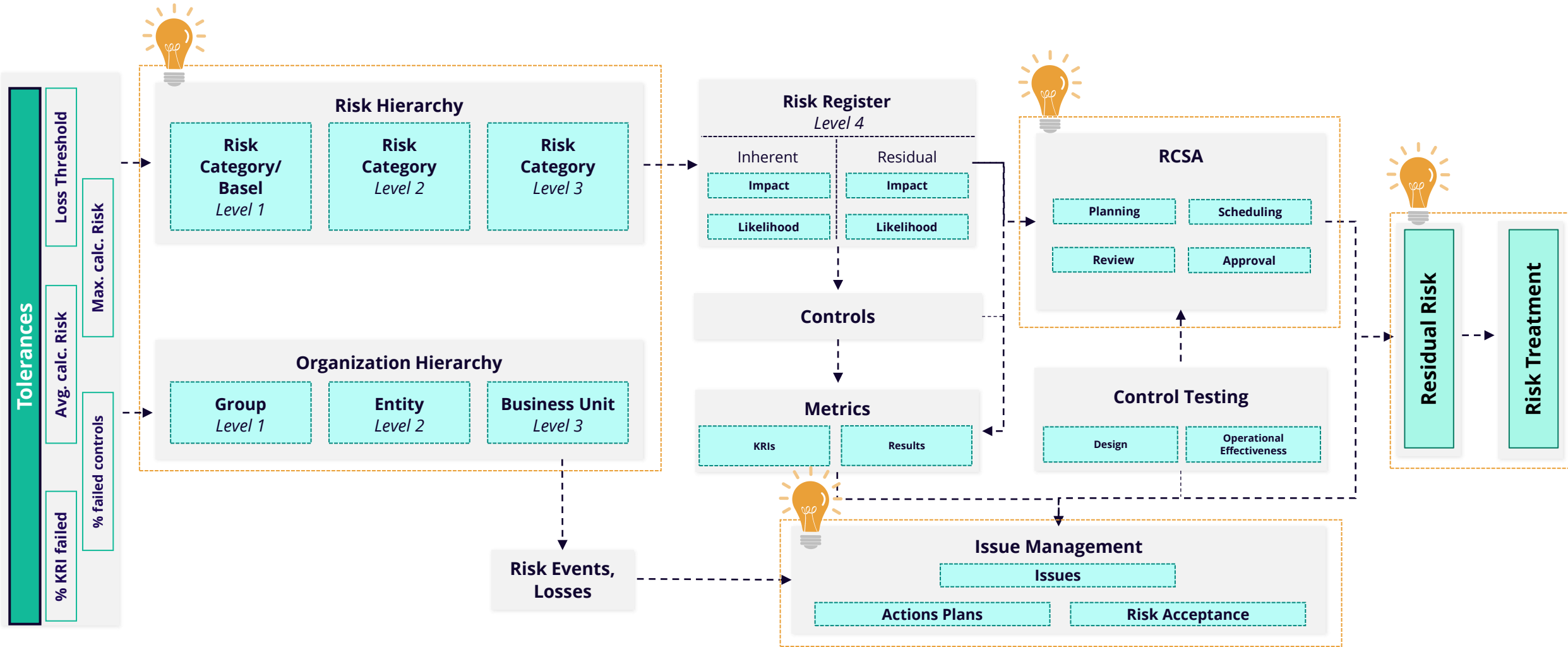
CLOUD

Next level of Simplicity

- *On-Demand, Self-Service and Secure*
- *Rapid Elasticity and Scalability*
- *Low-Code / No-Code SaaS Platform*

AiSPIRE Connected Risk

Stay resilient in a rapidly changing landscape with AI-powered risk management

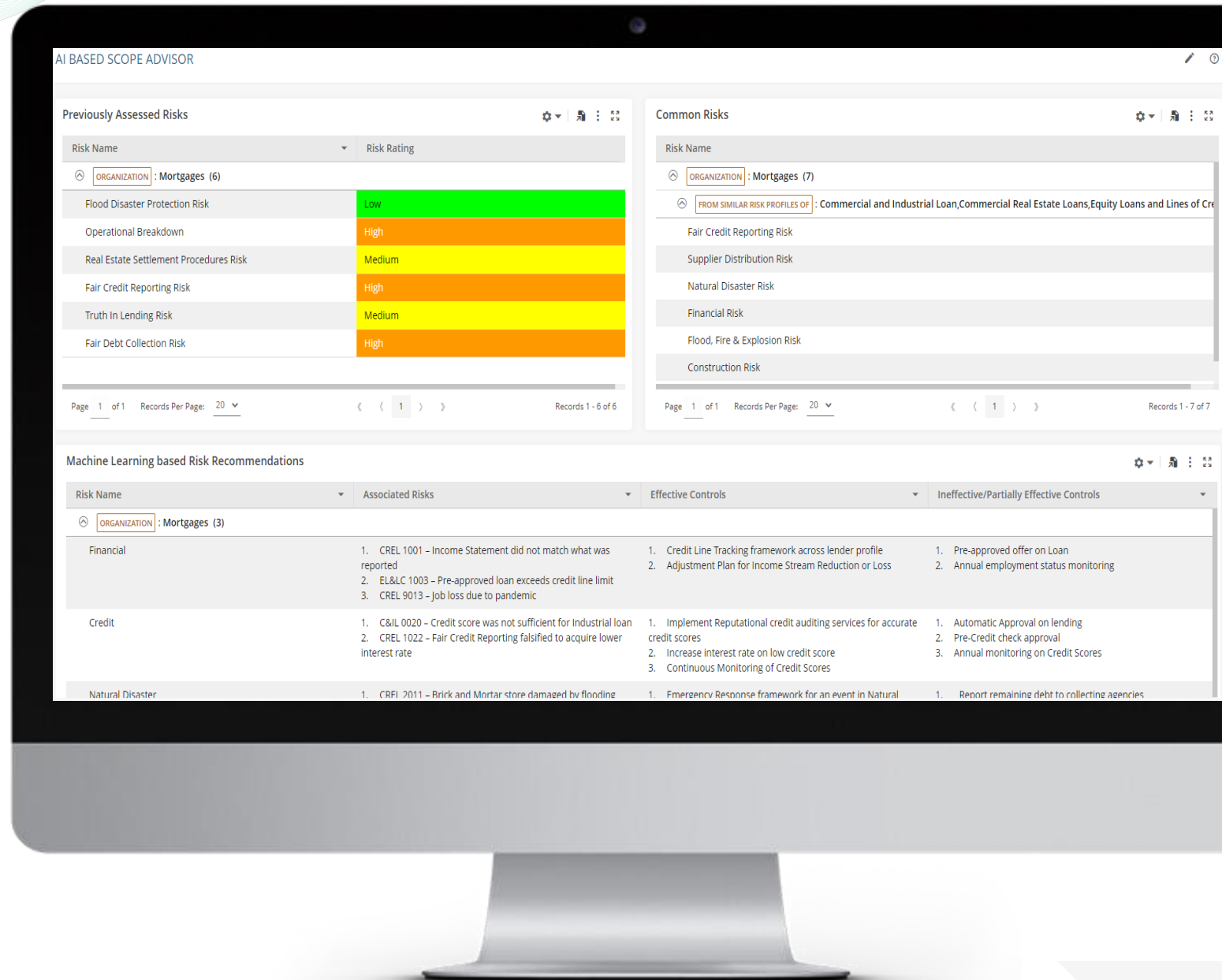


Assessment Scope Advisor

Generate risk profiles for LOBs/Entities

Increase coverage by recommending risks for assessment

Enhance efficiency by recommending controls



Discover Recurring Issues & Missing Risks

Determine dominant issue themes

Identify reoccurring patterns, related issues, missing risks and controls

Recommend next best action

Standardize issue taxonomy

The screenshot displays the 'Issue Creation' interface in MetricStream. The page title is 'Issue Creation' and the breadcrumb trail is 'metricstream > Issue Management > Overview > Issues > Actions > Respond to Issues'. The main content area is titled 'GENERAL' and 'Issue Details'. The form includes the following fields:

- Title: Personal USD Term Deposits - AML Gaps
- Status: New
- Business Remediation Completed: No
- Description: AML information is not being captured consistently at account open or point of sale for USD Term Deposits which are booked on the IBTSS system. As a result, client and product records on ECIF may not be compliant to AML requirements
- Date First Identified: Date First Identified
- Issue Due Date: Issue Due Date
- Review Group Due Date: Review Group Due Date
- Classification: Confidential Issue (unchecked), Plan for a Plan (selected)
- Stream: Stream
- Issue Classification: Issue Classification
- Subsidiary Issue Classification: Subsidiary Issue Classification
- SOX Classification Rating: SOX Classification Rating
- Exception Type: Exception Type
- Priority: Priority
- Issue Locations: Issue Locations
- Legal Entity Impacted: Legal Entity Impacted
- RCM Theme(s): RCM Theme(s)
- Repeat Issue?: Repeat Issue? (unchecked)
- Types: Deficiency
- Related Issues: Personal USD Term Deposits - AML Gaps, Personal Lending AML Third Party Beneficiary Information
- Source Type: Source Type

A progress indicator at the bottom left shows 18% completion.



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Brand New User Experience

Euphrates 2

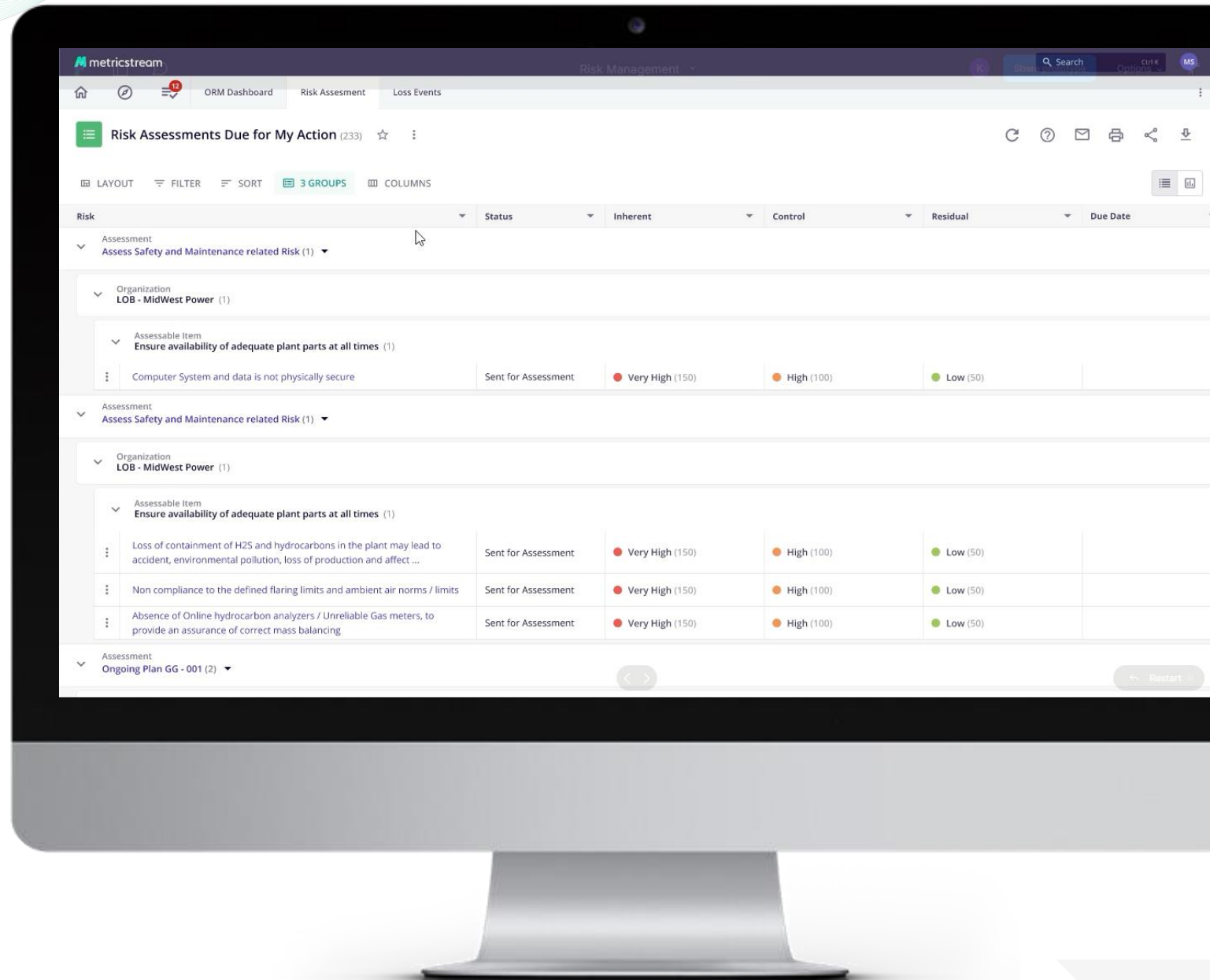
New Assessment Experience!

Improved collaboration with fellow assessors

Manage and act on pending assessments from one centralized location

New form layout seamlessly combines 'Tab' convenience with 'Section' organization

Left pane ensures constant access to contextual information



Enhanced Insights and Reporting

Comprehensive view of the organization's risk landscape

Deeper insights with interactive analytics and intuitive drill-down capabilities

Ability to quickly identify trends, investigate issues, & analyze control test results

Filters enable focused analysis based on specific timeframes and areas of interest



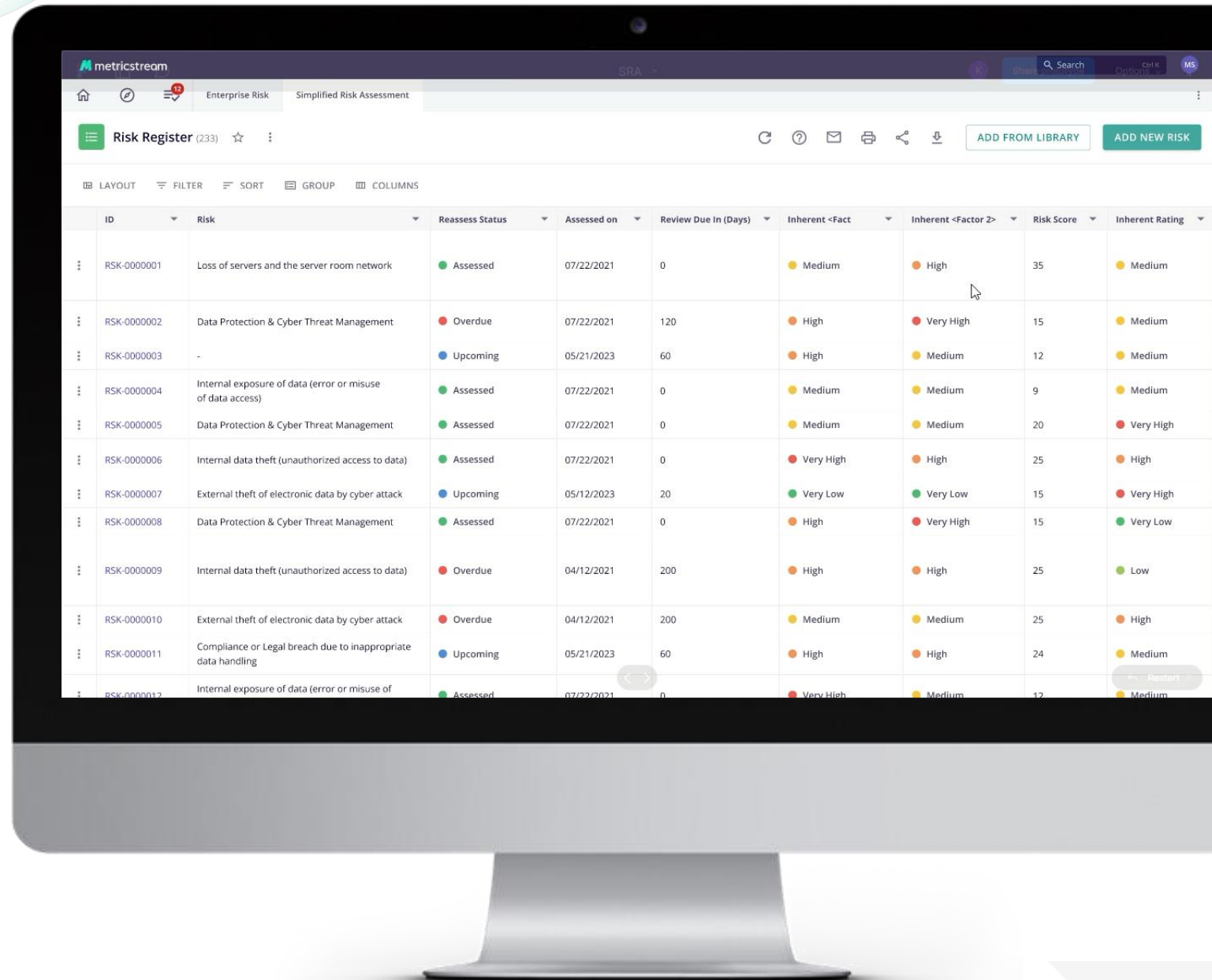
Risk Assessment Simplified for Non-BFSI Customers

Pre-defined, Configurable Methodology

Comprehensive view all applicable risks and assessment results

Capture, Assess, Treat and Monitor risks from one place, Risk Register

Ongoing evaluation of risks to ensure continuous and proactive risk management



1 Year



Enable Risk Professionals to Save Time by Streamlining Data Loading and Management

- Upload risk assessments in bulk to avoid time-consuming manual data entry



Empower Risk Managers & Analysts to Implement Well-governed Integrated Risk Management Processes

- Define the risk appetite to decisively influence the achievement of the objectives defined by the organization
- Perform rapid and pervasive risk assessments to help formalize decision making and ensure that the process is reproducible, consistent, and the results are easy to communicate
- Review/Challenge risk assessment results to ensure robustness & identification of weaknesses in the process or thought
- Analyze cause & consequences of risk events using Bow-Tie diagrams



Intuitive & Intelligent Risk Assessment Process with Cognitive Automation

- Identify unknown trends and emerging risks by analyzing the risk postures exhibited by other similar business units within the enterprise
- Identify emerging risks/trends specific to industry/geolocation

An aerial photograph of a Miami beach and skyline. The beach is on the left, with people and umbrellas. The ocean is blue. The skyline is on the right, with several high-rise buildings. A green wireframe overlay is visible, consisting of many thin lines that form a grid-like pattern over the scene.

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Questions

An aerial photograph of Miami, Florida, showing a mix of high-rise buildings and a beach area. Overlaid on the image are several curved, glowing green lines that connect different parts of the city, symbolizing connectivity. The sky is blue with scattered white clouds.

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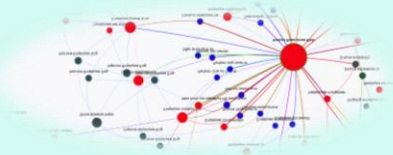
What's New

Last Year in Review

What's New in ERM and ORM

Last Year In Review

AiSPIRE



Risk Quantification

Self-Service Reporting

KRIs

**Enterprise & Operational
Risk Management**

Connected GRC Insights

Ad-hoc Actions / Tasks

Risk Events

Cancel Duplicate Actions

Data Uploads & APIs

Set-up

Legacy Issues Upload

The MetricStream GRC workflows, based on Industry best practice standards for all stages of Risk Management are infused with AI/ML capabilities to enable intelligent automation and augmentation.

